

UNITED STATES BANKRUPTCY COURT  
Southern District Of New York

In re: Lehman Brothers Holdings Inc., et al.,

Case No. 08-13555 (SCC)  
(Jointly Administered)

**TRANSFER OF CLAIM OTHER THAN FOR SECURITY**

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a).  
Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of  
the transfer, other than for security, of the claim referenced in this evidence and notice.

**LIN LE YAO**

**The Hongkong and Shanghai Banking  
Corporation Limited**

Name of Transferee

Name of Transferor

Name and Address where notices to  
transferee should be sent:

Court Claim # (if known): [56669]

15F, No. 117, Quyun Rd., Bangiao  
Dist., New Taipei City 22065,  
Taiwan (R.O.C.)

Amount of Claim: See attached Evidence of  
Partial Transfer of Claim

Date Claim Filed: 29 October 2009

Phone: 886-936061886

Last Four Digits of Acct. #: Nil

Phone: +852 3604 7640  
Last Four Digits of Acct. #: 6253

I declare under penalty of perjury that the information provided in this notice is true and correct  
to the best of my knowledge and belief.

By: 

Date:  2021. 10. 05

Transferee/Transferee's Agent  
Name: **LIN LE YAO**



*Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.*

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**EVIDENCE OF PARTIAL TRANSFER OF CLAIM**

TO: Clerk, United States Bankruptcy Court, Southern District of New York

**THE HONGKONG AND SHANGHAI BANKING CORPORATION LIMITED** ("Transferor"), does hereby certify that, as of 5 November 2021, it has unconditionally and irrevocably transferred and assigned to [56669] ("Transferee"), to the extent specified below (the "Transferred Claim"), Transferor's right, title and interest in and to Proof of Claim Number [56669] filed by or on behalf of Transferor against Lehman Brothers Holdings Inc., debtor in Case No. 08-13555 (SCC) in the United States Bankruptcy Court for the Southern District of New York, solely in the following amounts (the "Claim").

<u>ISIN</u>	<u>Issuer</u>	<u>Guarantor</u>	<u>Principal Amount Transferred</u>
XS0302043012	Lehman Brothers Treasury Co BV V2YCDASTK AXA-28.5622 CBKG- 31.1038 20.5% 29MAY2009	LEHMAN BROTHERS HOLDINGS INC	EUR200,000

For the avoidance of doubt, no portion of the Claim has been transferred to the Transferee other than the Transferred Claim.

Transferor hereby waives any notice or hearing requirements imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, and stipulates that an order may be entered recognizing the assignment evidenced by this Evidence of Transfer of Claim as an unconditional assignment and Transferee herein as the valid owner of the Transferred Claim. You are hereby requested to make all future payments and distributions, and to give all notices and other communications in respect of the Transferred Claim to Transferee.

IN WITNESS WHEREOF, dated as of the 5<sup>th</sup> of November, 2021.

**THE HONGKONG AND SHANGHAI BANKING CORPORATION LIMITED**

By: \_\_\_\_\_ For and on behalf of  
The Hongkong and Shanghai Banking Corporation Limited

Name: \_\_\_\_\_  
Title: \_\_\_\_\_  
Tel.: \_\_\_\_\_ Terry Lam  
Head of Private Banking Operations  
Private Banking Division

**LIN LE YAO**

By: \_\_\_\_\_

Name: LIN LE YAO  
Tel.: 886-936061886

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RESTRICTED